

## Securities Listed on American Stock Exchange or Regional Exchanges

### NYSE

.		%
.	Market	98.99
.	Limit	1.01
.	<b>Total</b>	<b>100</b>

### DEALER

.		%
.	Barclays	0.45
.	Credit Suisse	1.75
.	Convergex	2.36
.	Bank of America Merrill Lynch	82.30
.	Bloomberg	13.14
.	<b>Total</b>	<b>100</b>

### BARCLAYS

.		%
.	Market	0.00
.	Limit	100.00
.	<b>Total</b>	<b>100.00</b>

### CREDIT SUISSE

.		%
.	Market	80
.	Limit	20
.	<b>Total</b>	<b>100</b>

### CONVERGEX

.		%
.	Market	95.74
.	Limit	4.26
.	<b>Total</b>	<b>100</b>

### BANK OF AMERICA MERRILL LYNCH

.		%
.	Market	99.76
.	Limit	0.24
.	<b>Total</b>	<b>100.00</b>

**BLOOMBERG**

	%
. Market	100.00
Limit	0.00
<b>Total</b>	<b>100.00</b>

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The information contained in this initial report is for the 4th quarter 2016 only as required by the SEC.

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