

Securities Listed on American Stock Exchange or Regional Exchanges

AMEX

	%
. Market	91.67
Limit	8.33
Total	100

DEALER

	%
. Barclays	0.00
Credit Suisse	0.00
Convergex	0.00
Bank of America Merrill Lynch	41.67
Bloomberg	58.33
Total	100

BARCLAYS

	%
. Market	0.00
Limit	0.00
Total	0

CREDIT SUISSE

	%
. Market	0.00
Limit	0.00
Total	0

CONVERGEX

	%
. Market	0.00
Limit	0.00
Total	0

BANK OF AMERICA MERRILL LYNCH

	%
. Market	100.00
Limit	0.00
Total	100

BLOOMBERG

		%
.	Market	85.71
	Limit	14.29
	Total	100

The information contained in this initial report is for the 3rd quarter 2016 only as required by the SEC.

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