

Securities Listed on American Stock Exchange or Regional Exchanges

NASDAQ

	%
. Market	65.22
Limit	34.78
Total	100

DEALER

	%
Barclays	28.04
Credit Suisse	42.99
Morgan Stanley	0.00
Bank of New York	1.87
Bank of America Merrill Lynch	27.1
Total	100

BARCLAYS

	%
. Market	86.67
Limit	13.33
Total	100

CREDIT SUISSE

	%
. Market	26.09
Limit	73.91
Total	100

MORGAN STANLEY

	%
. Market	0.00
Limit	0.00
Total	0

BANK OF NEW YORK

	%
. Market	0.00
Limit	100.00
Total	100

BANK OF AMERICA MERRILL LYNCH

		%
.	Market	79.31
	Limit	20.69
	Total	100

The information contained in this initial report is for the 4th quarter 2013 only as required by the SEC.

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