

Securities Listed on American Stock Exchange or Regional Exchanges

ARCA

| | % |
|--------------|------------|
| Market | 80.00 |
| Limit | 20.00 |
| Total | 100 |

DEALER

| | % |
|-------------------------------|------------|
| Barclays | 28.57 |
| Credit Suisse | 0.00 |
| Morgan Stanley | 42.86 |
| UBS | 0.00 |
| Bank of New York | 0.00 |
| Bank of America Merrill Lynch | 28.57 |
| JP Morgan | 0.00 |
| Total | 100 |

BARCLAYS

| | % |
|--------------|------------|
| Market | 50.00 |
| Limit | 50.00 |
| Total | 100 |

CREDIT SUISSE

| | % |
|--------------|----------|
| Market | 0.00 |
| Limit | 0.00 |
| Total | 0 |

MORGAN STANLEY

| | % |
|--------------|------------|
| Market | 66.67 |
| Limit | 33.33 |
| Total | 100 |

UBS

| | % |
|--------------|----------|
| Market | 0.00 |
| Limit | 0.00 |
| Total | 0 |

BANK OF NEW YORK

| | | |
|---|--------------|----------|
| . | | % |
| | Market | 0.00 |
| | Limit | 0.00 |
| | Total | 0 |

BANK OF AMERICA MERRILL LYNCH

| | | |
|---|--------------|------------|
| . | | % |
| | Market | 50.00 |
| | Limit | 50.00 |
| | Total | 100 |

JP MORGAN

| | | |
|---|--------------|----------|
| . | | % |
| | Market | 0.00 |
| | Limit | 0.00 |
| | Total | 0 |

The information contained in this initial report is for the 2nd quarter 2013 only as required by the SEC.

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